Okinawa Institute of Science and Technology School Corporation Rules for Corporate Records Management and University Archive

Approved by the President August 1, 2023

Article 1 Purpose

The purpose of these Rules shall be to set out the necessary matters regarding the corporate records management at the Okinawa Institute of Science and Technology School Corporation (hereinafter referred to as the "Corporation"), in accordance with the Act on Public Records and Archives Management Act (Act No. 66 of 2009, hereinafter referred to as the "Act").

Article 2 Policy

- 1. The Corporation's officers and employees must prepare and store Corporate Records electronically whenever it is possible, to achieve more accurate and efficient administrative operations and to meet the environmental protection objectives.
- 2. In recognition of the importance of documenting and preserving records of organizational decision-making and administrative or business performance, the Corporation's officers and employees must keep such records searchable and accessible for the purposes of present operations, internal and external verification and audit, as well as future historical research.
- 3. In accordance with the relevant laws and regulations and these Rules, the Corporation's officers and employees must handle carefully and properly manage the Corporation's Corporate Records, regardless of their content.

Article 3 Definitions

The terms in these Rules shall be as defined in the following item;

(1) Corporate Records

Documents and records (including drawings and electromagnetic records, the same applies hereinafter) created or acquired by the Corporation's officers and employees in the course of their duties and currently held by the Corporation for organizational use by the Corporation's officers and employees.

However, research data, research papers and other documents created in the course of research activities and not used systematically by the Corporation's officers and employees and those listed in each item of Paragraph 5 of Article 2 of the Act are excluded. Provided, documents and records used systematically by the Corporation's officers and employees, such as budget execution plans and execution reports prepared in research promotion, application documents for research expenses, records relating to research evaluation, minutes and distributed materials at faculty meetings and other committees, etc. shall be regarded as Corporate Records.

(2) Corporate Record File

A set of the Corporation's Corporate Records that are closely related to each other and have been combined into a single set as it is appropriate to have the same preservation period.

(3) Corporate Record File Registry

A registry containing the classification, identifiable title, preservation period, date of expiration of preservation period, record schedule, location of retention and other necessary details of the Corporation's Corporate Record Files.

(4) Electric Records

A record made by an electronic method, a magnetic method or any other method that cannot be recognized by the perception of others.

(5) Electronic Documents

The Corporate Records (including emails) created by electromagnetic records.

(6) National Archive of Japan

The Incorporated Administrative Institution National Archives of Japan and the archives established by them.

(7) Minor Case

In cases where Corporate Records are not prepared and retained, this means that they are not required to be verified after the fact, their contents have no historical value and do not interfere with the decision- making or administration, etc., of the Corporation.

(8) Transfer

Unless otherwise stated, refers to transfer the Corporate Records to the National Archives of Japan.

(9) University Archive

A corporate records archive established by the Corporation.

(10) Historical Records

Public Records and other documents of historical importance that fall into one of the following categories [I] to [V].

- [I] Documents containing important information concerning the review processes, decisions, performances, and achievements of national policies;
- [II] Documents recording important information concerning the people's rights and duties;
- [III] Documents recording important information concerning the social and natural environments, etc. surrounding the people;
- [IV] Documents recording important information concerning the national history, culture, academic affairs, and events, etc.; and
- [V] Documents designated by the government or a government agency.

(11) Non-disclosure Information

The information as defined in Article 5 of the Act on Access to Information Held by Incorporated Administrative Agencies (Act No. 140 of 2001).

Article 4 General Manager for Corporate Record Management

- The Secretary General shall be appointed as the General Manager for Corporate Record Management (hereinafter referred to as the "General Manager") in the Corporation.
- 2. The General Manager shall perform the following administrative duties;
 - (1) Preparing and updating the Corporate Document File Registry and transfer-disposal registry;
 - (2) Making necessary response to the Cabinet Office or other relevant ministers, pursuant to the related laws and regulations and implementation of necessary improvements;
 - (3) Providing necessary trainings;
 - (4) Necessary measures for the Corporate Records management in connection

- with the establishment, revision or abolition of the organization of the Corporation;
- (5) Establishing and publishing necessary rules and standards to implement these Rules:
- (6) Supervising administrations regarding Corporate Records Management at the Corporation and organizing management as well as guidance;
- (7) Management and operation of the Corporation's Document Management System (hereinafter referred to as the "DMS");
- (8) Operating the University Archive; and
- (9) Making necessary written reports to the Prime Minister or other relevant ministers, pursuant to the related laws and regulations.
- 3. The General Manager shall periodically review and, if necessary, revise the Appendix Table "Standards for Preservation Period and Record Schedule".

Article 5 University Archivist

- 1. The Section Leader of the Rules and Compliance Section shall be appointed as the University Archivist in the Corporation.
- 2. The University Archivist shall be responsible for the Corporation's Corporate Records management stored in the University Archive, under the supervision of the General Manager.
- 3. The University Archivist shall assist the General manager in the affairs listed in Items 1 through 9 of Paragraph 2 of Article 4.
- 4. The University Archivist shall manage the setting of the permissions of DMS, etc., on behalf of the General Manager.
- 5. The University Archivist may appoint an Assistant University Archivist from the secretariat to carry out the practices mentioned in the preceding three paragraphs.

Article 6 Responsible Officer for Corporate Record Management

1. The Vice President or Dean shall be appointed as the Responsible Officer for Corporate Record Management (hereinafter referred to as the "Officer") in the Corporation at each of the departments provided in Article 4 of the Rules for

Approval Authorities.

- 2. Under the direction of the General Manager, the Officer must take necessary measures or give instruction as necessary for the proper management of Corporate Records in the department under their jurisdiction.
- 3. When requested by the General Manager under Paragraph 1 of Article 24, the Officer must report to the General Manager the status and the results of the inspection of the management of Corporate Records in the department under their jurisdiction.

Article 7 Corporate Record Manager

- 1. The faculty member, Section Leader or person nominated by the General Manager as equivalent shall be appointed as the Corporate Record Manager (hereinafter referred to as the "Manager") in the Corporation at each of the departments provided in the responsibility table of the Corporation's PRP Part 2 and each of the research units.
- 2. The Manager must, in accordance with the instructions of the Officer of the belonging department, perform the following administrative duties in relation to the management of the Corporate Records in each department, etc.
 - (1) Retention;
 - (2) Creation and management of Corporate Record Files;
 - (3) Assignment of record schedule;
 - (4) Administrations for the transfer or disposal of the Corporate Record Files;
 - (5) Necessary measures for corporate record management such as internal transfer in accordance with establishment, rearrangement and abolition of organization;
 - (6) Internal transfer of Corporate Record Files to the University Archive;
 - (7) Arrangement and inspection of management and match against the DMS;
 - (8) Report the Officer with the results of inspection of management;
 - (9) Order and instruction to the officer and employees under their jurisdiction; and
 - (10) Appointment of the Corporate Record Administrator and report;
- 3. The Manager must, when appointed the Corporate Record Administrator under Item 10 of the preceding paragraph, report to the University Archivist with the

name of them, etc.

Article 8 Corporate Record Administrator

The Corporate Record Administrator must assist the Manager of the belonging department with regard to the affairs listed in Items 1 through 9 of Paragraph 2 of the preceding article and carry out their practical duties.

Article 9 Audit Manager

- 1. The Corporation shall appoint the Audit Manager of corporate record management, who shall be the Chief Internal Audit Officer.
- 2. The Audit Manager may, on a regular basis or as necessary, carry out audits of the Corporation's management of Corporate Records in accordance with these Rules and related regulations.
- 3. The Audit Manager must report to the General Manager on the results of the audits carried out in accordance with the preceding paragraph.

Article 10 Document Management System

- 1. The Corporation shall introduce the Document Management System (DMS) for corporate records management of the Corporation.
- 2. Administration and operations of the DMS shall be conducted by the University Archivist under direction of the General Manager.
- 3. The DMS shall have the books provided in the following items;
 - (1) Kessai registry;
 - (2) Register of received documents; and
 - (3) Register of retention box.

Article 11 Creation of Corporate Record File

- 1. The Manager must create a Corporate Record File for each fiscal year to preserve Corporate Records related to the administrations of the department under their jurisdiction.
- 2. The items stipulated in each of the following items shall be registered in the Corporate Record File.

- (1) Fiscal year of creation or acquisition;
- (2) Classification;
- (3) Title;
- (4) Name of Manager at creation or acquisition;
- (5) Starting date;
- (6) Preservation period;
- (7) Expiration date of preservation period;
- (8) Type of media;
- (9) Retention location; and
- (10) Record schedule.
- 3. The items of Item 2, 6 and 10 of the preceding paragraph shall be registered in accordance with the Appendix Table "Standards for Preservation Period and Record Schedule". However, the Manager may, for the item of Item 2 of the preceding paragraph, assign a classification according to the affairs of the department under their jurisdiction. In addition, in case of electronic media, this does not preclude the matters referred to in Item 2 of the preceding paragraph from being registered by use of tag information, etc.
- 4. The Manager shall observe the following items when assigning a title of Corporate Record File.
 - (1) Include keywords that prepare the search for Corporate Records in the Corporate Record File;
 - (2) Straightforwardly presented for the contents of the Corporate Record File in question;
 - (3) Avoiding expressions and terminology, etc. that can only be understood by specified persons; and
 - (4) Avoid overly broad terms ("miscellaneous matters" or "others", etc.).
- 5. If there is a Corporate Record File created only in paper form, the Manager must immediately register the Corporate Record File in the DMS.
- 6. When a Corporate Record File in paper form is created, the Manager must indicate the Items of Paragraph 2 on the heading and spine of the filing tools (i.e., binders or storage boxes). If there is any change in the Items of Paragraph 2 with regard to the said Corporate Record File, the indication shall be revised promptly.

Article 12 Corporate Record File Registry

- 1. The General Manager must prepare a Corporate Record File Register listing Corporate Record Files with a preservation period of one year or more, and must update it at least once a fiscal year.
- 2. The General Manager must not enter confidential information, personal information and non-disclosure information in the Corporate Record File Registry.
- 3. In addition to the Items of Paragraph 2 of the preceding article, the entries in the Corporate Record File Registry shall be as follows;
 - (1) Name of the Manager of Corporate Record File at the time of creation or amendment of the Corporate Record File Registry; and
 - (2) Records of extension of preservation period, etc.
- 4. The General Manager must make the Corporation's Corporate Record File Registry publicly available on the Corporation's website and at the Corporation's office for public access.
- 5. When a Corporate Record File which preservation period has expired is transferred or disposed of, the General Manager shall delete the entry concerning the Corporate Record File from the Corporate Record File Registry and enter its name and the date of transfer or disposal in the transfer-disposal registry.

Article 13 Preservation and Management of Corporate Record File

- 1. The Manager must keep the Corporate Record Files in a usable condition in a physical or electronic archive until the date of expiration of the preservation period of the said Corporate Record File while following these Rules.
- 2. When taking the Corporate Record Files (including stored Corporate Records) out of office or bookshelf, etc. for reasonable purposes, such as business trips, the Corporation's officers and employees must obtain prior permission from the Manager and handle them with the utmost care. Provided, all Corporate Record Files that have been taken out must be returned securely in the presence of the Manager.

- Policies, Rules and Procedures
- 3. When using a portable electronic device to store Corporate Records or copies thereof, the Corporation's officers and employees must, after use or at the time of sharing such device with another officer or employee, ensure that no such Corporate Records or copies thereof are left on the device.
- 4. The Manager shall store highly confidential Corporate Record Files on lockable bookcases or other storage locations with restricted access to prevent unauthorized removal or theft.
- 5. The Manager shall assign shelf numbers to bookshelves, etc. where paper-based Corporate Record Files are stored, and assign the same numbers to the Corporate Record Files stored on said bookshelves, etc. for location management.

Article 14 Creation of Corporate Records

- 1. In making decisions (including the process leading to the decision) at the Corporation, the Corporation's officers and employees must prepare Corporate Records in accordance with the Corporation's Rules for Approval Authorities at the time of the matter in question has taken place or as soon as possible after the end of the matter concerned. However, if it is difficult to prepare a Corporate Record at the same time as a decision is taken, a Corporate Record may be prepared after the fact.
- 2. The Corporation's officers and employees must prepare Corporate Records in accordance with these Rules on the performance of their administrative and business activities at the Corporation.
- 3. Notwithstanding the preceding two paragraphs, the Corporation's officers and employees may not prepare Corporate Records if the matter to be processed is in Minor Case.
- 4. Procedures for the preparation of Corporate Records that require the Official Seal of the Corporation to prove their legitimacy are set out separately in the Corporation's Rules for Official Seals Management.
- 5. The Corporation's officers and employees must ensure that Corporate Records created or acquired by them are accurately represented, have a simple and clear

title and are identifiable by at least the record number, the date of creation and, where they have been previously updated or are expected to be updated in the future, the version information.

- 6. In principle, the Corporation's officers and employees must prepare Corporate Records in both English and Japanese. However, in the case of the following Corporate Records, a translation may be made only in one of the languages, either English or Japanese, with at least a translation in the title only.
 - (1) Documents routinely created;
 - (2) Documents that need to be prepared urgently; and
 - (3) Documents that are impractical or grossly inefficient to translate.
- 7. The Corporation's officers and employees must classify the Corporate Records they create or acquire, identify the Corporate Record Files in which they are stored and store them appropriately.
- 8. The storage area for the documents or materials that do not fall under Corporate Records, such as reference materials used by the Corporation's officers and employees for their own work, shall only be in the vicinity of the desk, etc. of the relevant officers or employee.

Article 15 Acquisition of Corporate Records

- 1. Regardless of the type of medium, the Corporation's officers and employees must treat as Corporate Records for documents obtained by the said officers or employees through business communications with external entities, such as documents relating to notifications or enquiries from the government or local authorities, administrative communications from external entities and documents or information of project proposals from business partners.
- 2. The Corporation's officers and employees must properly record the subject, name of the sender and information about the addressee of Corporate Records obtained in accordance with the preceding paragraph.

Article 16 Confidential and Personal Information

1. When Corporate Records created or acquired contain confidential information, the Corporation's officers and employees must clearly state this and handle the said Corporate Records appropriately in accordance with the Corporation's

Rules for Information Technology and Information Security (Part 17 of the PRP) in addition to these Rules.

- 2. When Corporate Records created or acquired contain personal information, the Corporation's officer and employees must clearly state this and handle the said Corporate Records appropriately in accordance with the Corporation's Rules for Personal Information Protection in addition to these Rules.
- 3. When the personal information contained in a Corporate Record is deleted after the purpose of its use has been completed, the Manager may process pseudonymization or anonymization in accordance with the Corporation's Rules for Personal Information Protection, and the processed information may be retained as a Corporate Record.

Article 17 Management of Electronic Documents

- 1. The Corporation's officers and employees must store Electronic Documents in a condition that allows them to be easily retrieved and utilized in the Corporation's operations.
- 2. The Corporation's officers and employees shall treat Electronic Documents, regardless of their form, as having the same importance as paper based Corporate Records.
- 3. The Manager must take special care to prevent falsification, leakage or loss of information in accordance with the Corporation's Rules for Information Management (Part 17 of PRP) and the information security policy established by the CISO in addition to these Rules, where necessary, appropriate access restrictions.
- 4. The Manager shall endeavor to organize the hierarchical structure of the configuration of the share server, etc. on which Electronic Documents are stored, taking the Appendix Table into account.
- 5. The Corporation's officers and employees may convert Corporate Records created or acquired on paper media into electronic media using a scanner, etc., and may store them as Electronic Documents. However, in this case, the name of the converted Electronic Document shall indicate that it has made by

medium conversion.

- 6. The Corporation's officers and employees may treat the Electronic Document newly created in accordance with the preceding paragraph as a master copy and the paper based Corporate Record from which the conversion was made as a copy.
- 7. In principle, the Corporation's officers and employees must provide internal administrative communications and notifications by email or by posting them on the Corporation's internal website.

Article 18 Assignment of Preservation Period

- 1. At the creation or acquisition of the Corporate Records, the Manager shall assign a preservation period in accordance with the Appendix Table "Standards for Preservation Period and Record Schedule". However, in assignment of the preservation period, the Corporate Document File in which the relevant Corporate Record is stored shall be the unit.
- 2. The preservation period for Corporate Records shall be one of the following items.
 - (1) Thirty years (30);
 - (2) Twenty years (20);
 - (3) Ten years (10);
 - (4) Seven years (7);
 - (5) Five years (5);
 - (6) Three years (3);
 - (7) One year (1); or
 - (8) Less than one (1) year.
- 3. Regular Use for preservation period shall be assigned of the Corporate Records that should be kept continuously as those that are available regularly for use by the Corporation's officers and employees.
- 4. The maximum preservation period for those for which measures are transfer at its record schedule shall be 20 years.
- 5. For the calculation of the preservation period, the starting date shall be the first

day of the following fiscal year. However, for Corporate Records which preservation period is less than one year, the date of creation or acquisition of the Corporate Record may be used as the starting date for the preservation period.

- 6. The Corporation shall establish a preservation period of at least one year for Corporate Records that fall into the Historical Document. However, even if they do not fall into the Historical Document, decisions (including the process thereof) necessary for accountability regarding the proper and efficient operation, etc. of the Corporation and Corporate Records that are necessary for the reasonable tracing and verification of administrative performance shall have a preservation period of at least one year in principle.
- 7. With the exception of those falling under the provisions of the preceding paragraph, the Corporation may assign the preservation period to less than one year for the Corporate Records that fall under the following items.
 - (1) Copies of the Corporate Records for which a separate master copy is maintained;
 - (2) Routine or daily business communications, responding to enquiries, etc. relating to the operations under their responsibilities and itineraries, etc.;
 - (3) Documents complied from publications and public announcements;
 - (4) Documents that are no longer suitable for use in terms of objective accuracy, such as obvious errors; and
 - (5) Documents at an intermediate stage that are deemed to have no impact on decision-making.
- 8. The preservation period for emails falling under the Corporate Records shall be less than one year. However, if such emails contain information on the decision-making process of the Corporation and have evidentiary value, a preservation period of more than one year may be assigned. In addition, the Corporation's officers and employees must regularly or occasionally delete communications not directly related to the operation of the Corporation or emails not involved in decision-making.

Article 19 Assignment of Record Schedule

1. The Manager and the University Archivist must propose, as early as possible before the expiry of the preservation period, the following affairs concerning

measures to be taken when the preservation period of the Corporate Record Files under their jurisdiction expires, in accordance with the Appendix Table "Standards for Preservation Period and Record Schedule".

- (1) Extension of preservation period of the Corporate Record File;
- (2) Disposal of the Corporate Records stored in the Corporate Record File; or
- (3) Transfer of the Corporate Records stored in the Corporate Record File to the National Archives of Japan.
- 2. The proposal referred to in the preceding paragraph must be approved by the General Manager.

Article 20 Internal Transfer and Handover of Corporate Records and Corporate Record Files

- 1. In the event of the establishment, amendment or abolition of the organization of the Corporation or a change in the duties under its jurisdiction, the Manager must, under the direction of the Officer, ensure that all Corporate Records and Corporate Record Files relating to the duties under their jurisdiction and the results of the inspection of the management status are transferred by the internal transfer to the Manager of the department that carries out the duties under its jurisdiction.
- 2. When a Corporation's officer or employee leaves the duty or employment of the Corporation, the said officer or employee must hand over all Corporate Records to the Corporate Record Management Administrator, the Manager or the Officer before leaving the Corporation.
- 3. The person who is receiving the internal transfer or handover under the preceding two paragraphs must, in the presence of the previous Manager, officer or employee, check the storage location and management status of the relevant Corporate Record Files, etc. against the records of the DMS, and in the case of paper based Corporate Record Files, update their labelling.
- 4. The internal transfer or handover on the DMS shall be carried out by the department from which the transfer is made, with the agreement of the Manager of the transferring department and the Manager of the receiving department.

Article 21 Extension of Preservation Period of Corporate Record Files

- 1. Even when the preservation period specified in Article 18 has expired for the Corporate Record File under management, the Manager must, in each of the following items, extend the preservation period specified in each of the following items has expired.
 - (1) Corporate Records required to perform procedural acts in litigation: Until the conclusion of the relevant proceedings;
 - (2) Corporate Records that currently subject to audit, inspection, etc.: Until the completion of such audit, inspection, etc.;
 - (3) Corporate Records required to perform procedural acts in appeals: Until the resolve of the said appeals; and
 - (4) Corporate Records that is under disclosure request: Until the completion of the procedures relating to the said disclosure.
- 2. Even when the preservation period specified in Article 18 has expired for a Corporate Record File under their jurisdiction, the Manager and the University Archivist may extend the preservation period of the Corporate Record File if it is necessary for the performance of their duties.
- 3. When extending the preservation period referred to in the preceding two paragraphs, the Manager and the University Archivist must specify the preservation period to be extended, together with the reasons for the extension, and obtain the approval of the General Manager.
- 4. When receiving the approval referred to in the preceding paragraph, the Manager and the University Archivist must record the extension of the preservation period of the relevant Corporate Record File in the DMS and take the necessary measures, such as replacing the labeling in paper media.

Article 22 Transfer or Disposal of Corporate Record Files

- 1. The Manager and University Archivist must dispose of Corporate Record Files which the preservation period has expired in principle, except for those to be transferred to the National Archives of Japan.
- 2. Upon disposal, the Manager and University Archivist shall carefully check whether the Corporate Record Files to be disposed of contain items that should be transferred and items that should be stored further, and dispose of them

appropriately after obtaining the approval of the General Manager.

- 3. If the paper based Corporate Record File to be disposed of contains non-disclosure information, the Manager and University Archivist must cut or incinerate it to prevent the leakage of the said information.
- 4. In the case of those assigned as transfer in the Appendix table, the Manager and University Archivist must transfer Corporate Record Files which the preservation period has expired, to the National Archives of Japan, subject to the approval of the General Manager.
- 5. When there are Corporate Record Files to be transferred to the National Archives of Japan, the Manager shall inform the University Archivist and follow the instructions.
- 6. The Corporation may reproduce all or part of the Corporate Record Files transferred to the National Archives of Japan and continue to preserve them as new Corporate Records, if necessary for the business of the Corporation, with the approval of the General Manager.

Article 23 University Archive

- 1. If there are Corporate Record Files that are assigned as transfer after the preservation period has expired and the preservation period in the respective department has passed five years, the Manager must transfer them to the Corporation's University Archive. However, Corporate Record Files with Regular Use for preservation period may continue to be retained in the said department.
- 2. Under the direction of the Officer, the manager must ensure that all Corporate Records and Corporate Record Files subject to transfer to the University Archive and the results of the inspection of the management status are transferred to the University Archivist.
- 3. When receiving a transfer to the University Archive in accordance with the preceding paragraph, the University Archivist must, in presence of the Manager of the transferring department, check the storage location and management status of the relevant Corporate Record Files, etc. against the records of the

DMS, and in the case of paper based Corporate Record Files, update their labels.

- 4. The transfer to the University Archive on the DMS shall be carried out by the transferring department, with agreement of the Manager of the transferring department and the University Archivist.
- 5. The University Archivist must preserve the Corporate Record Files transferred to the University Archive in a physical or electronic archive until the expiry of the preservation period.
- 6. The Corporation's officer and employee must obtain permission from the University Archivist when attempting to access Corporate Records stored in the University Archive.

Article 24 Inspection of Corporate Record Files

- 1. The General Manager may request the Officer to inspect and report at least once a year on the status of Corporate Record management in each department under their jurisdiction.
- 2. When requested under the preceding paragraph, the Officer must order the Managers of the departments under their jurisdiction to inspect and report on the status of the management of Corporate Records in their departments, and must report the results to the General Manager.
- 3. When receiving an order under the preceding paragraph, the Manager must carry out an inspection of the status of Corporate Record management in each department, mutually confirm it with the Corporate Record Management Administrator, and report the results to the Officer.
- 4. The Manager shall inspect the recording media, processing routes, storage methods, results of cross-checking with the DMS, etc. of Corporate Records in each department on a regular and on an as needed basis, report the results to the Officer, and if deemed necessary, report the results to the General Manager through the Officer.
- 5. The General Manager shall evaluate the measures for the proper management of Corporate Records from the perspective of effectiveness, etc., based on the

results of the inspection referred to in the preceding paragraph or the audit provided in Article 9, and if deemed necessary, shall instruct the Officer and Manager to take measures such as review them, etc.

Article 25 Correspondence to Lost, Etc.

- 1. The Manager and University Archivist must immediately report to the General Manager when it becomes apparent that Corporate Record Files or Corporate Records have been lost or mistakenly disposed of.
- 2. The General Manager shall promptly take the necessary measures to prevent the spread of damage upon receiving a report under the preceding paragraph.

Article 26 Training

- 1. The General Manager must, in cooperation with the Training and Education Section, at least once each year, provide the Corporation's officers and employees with the training necessary to equip them with the knowledge and skills required to properly and effectively manage Corporate Records.
- 2. The Officer and the Manager must actively involve officers and employees of the department under their jurisdiction in the training provided in accordance with the preceding paragraph.
- 3. The Corporation's officers and employees must attend the training provided pursuant to Paragraph 1 at the appropriate time.

Article 27 Secretariat

Administration regarding these Rules shall be conducted by the Rules and Compliance Section.

Article 28 Disciplinary Actions

A Corporation's officer or employee in violation of these Rules, by willful act or gross negligence, or those involved in the said violation, shall be subject to disciplinary actions provided in the Corporation's Rules of Employment, etc.

Article 29 Miscellaneous Provisions

In Addition to matters stipulated in these Rules, other necessary details regarding corporate records management shall be provided by the Secretary General.

Article 30 Transition from OIST Promotion Corporation

All Corporate Records held by the Okinawa Institute of Science and Technology Promotion Corporation at the time of transition to the Corporation must be transferred to the Corporation and managed in accordance with these Rules.

Supplementary Provision

These rules shall come into effect from April 1, 2022.

Supplementary Provision

These rules shall come into effect from August 1, 2023.

Appended Table of Standards for Preservation Period and Record Schedule 別表保存期間及び保存期間満了時の措置に関する基準表

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
Administrative	General	History and Development	Documents concerning establishment of the Corporation	20 years	Transfer
Operation	Administrative	沿革記録	and the University	20年	移管
管理運営	Matters 管理一般		学園の設立及び大学の設置に関する事項		
		Events and Ceremonies	Particularly important documents concerning inauguration	20 years	Transfer
		行事•儀式	ceremony and other record of the Corporation's history	20年	移管
			創立式典等学園の沿革記録に関するもので重要な文書		
		Events and Ceremonies	Important documents of memorial evens hosted by the	20 years	Transfer
		行事•儀式	Corporation	20年	移管
			学園が主催する記念行事に関するもので重要な文書		
		Documents	Documents concerning particularly important managerial	20 years	Transfer
		資料	matters	20年	移管
			学園の管理運営上特に重要な実績に関する事項		
		Documents	Documents concerning loan for use of land	30 years	Disposal
		資料	土地の使用貸借に関する文書	30年	廃棄
		Events and Ceremonies	Documents concerning entrance ceremony, graduation	10 years	Disposal
		行事•儀式	ceremony and commencement ceremony and other	10年	廃棄
			ceremonies hosted by the Corporation		
			入学式、卒業式、学位授与式、その他学園が主催する記念行		
			事に関する文書		
		Inquiries, Responses and	Particularly important documents regarding mandamus,	10 years	Disposal
		Notifications	notifications and correspondence from MEXT and CAO	10年	廃棄
		照会•回答•通知	文部科学省及び内閣府からの諸令達、通知及び往復書簡で		

Major Class 大分類	Medium Class 中分類	Minor Class 小分類	Type of Corporate Records 法人文書の類型	Preservation Period 保存期間	Record Schedule 保存期間満了 時の措置
			重要なもの		
		Inquiries, Responses and Notifications 照会•回答•通知	Particularly important documents concerning inspections or notifications from supervisory ministers and agencies 監督官庁による検査や通知に関する重要な文書	10 years 10年	Disposal 廃棄
		Inquiries, Responses and Notifications 照会•回答•通知	Documents concerning inspections conducted by overseeing ministries and agencies 監督官庁による検査や通知に関する文書	5 years 5年	Disposal 廃棄
		Inquiries, Responses and Notifications 照会•回答•通知	Documents concerning important notifications and reports 重要な届出・報告に関する文書	5 years 5年	Disposal 廃棄
		Documents 資料	Documents concerning general guidelines and plans 一般的な指針・計画に関する文書	3 years 3年	Disposal 廃棄
		Inquiries, Responses and Notifications 照会•回答•通知	Documents concerning minor permissions, licenses, approvals, notifications, and reports, etc. 軽易な許可、認可、承認、届出、報告等に関する文書	1 year 1年	Disposal 廃棄
		Documents 資料	Documents concerning minor statistics and surveys 軽易な統計・調査に関する文書	1 year 1年	Disposal 廃棄
		Liaison and Adjustment 連絡•調整	Documents to do decision-making on minor matters. 軽易な事項に係る意思決定を行うための文書	Less than 1 year 1年未満	Disposal 廃棄
		Liaison and Adjustment 連絡•調整	Documents for internal matters distributed within Division or Section, etc. ディビジョン又はセクション等内の事務連絡文書	Less than 1 year 1年未満	Disposal 廃棄
		Business Systems 業務システム	Retained data in business systems (excluding those with preservation period under the law or	Regular Use 常用	-

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
			serviceresponsibilities)		
			業務システム内の保存データ(法令又は所管業務上の保存期		
			間があるものを除く。)		
	Operation	Business Plan	Documents concerning business plan of the Corporation	30 years	Disposal
	運営	事業計画	学園の事業計画	30年	廃棄
		Conferences and Meetings	Meeting minutes and handouts of the Board of Governors	20 years	Transfer
		会議	and the Board of Auditors	20年	移管
			理事会及び評議員会の議事録及び配布資料		
		Establishment and Abolition	Document concerning establishment and abolition of	20 years	Transfer
		of Organization	organization, change of organization name	20年	移管
		設置•改廃	組織の改廃、名称変更等に関するもの		
		Litigation	Documents concerning litigation	30 years	Disposal
		訴訟	訴訟に関する文書	30年	廃棄
		Conferences and Meetings	Meeting minutes and handouts of the Corporation	5 years	Disposal
		会議	committees.	5年	廃棄
			学園内の各種委員会の議事録及び配布資料		
		Conferences and Meetings	Documents concerning minor conferences	Less than 1	Disposal
		会議	軽易な会議に関する文書	year	廃棄
				1年未満	
	Law and	Registration of the	Documents related to registration of the Corporation	30 years	Disposal
	Regulations	Corporation	法人登記に関する文書	30年	廃棄
	法規	法人登記			
		Policies, Rules and Procedures	Corporation's Policies, Rules and Procedures (PRP)	30 years	Disposal
		(PRP)	学園の基本方針・ルール・手続(PRP)	30年	廃棄
		規程制定•管理			

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Policies, Rules and Procedures	Corporation's Policies, Rules and Procedures (PRP) (rules	5 years	Disposal
		(PRP)	and detailed rules established by division heads)	5年	廃棄
		規程制定•管理	学園の基本方針・ルール・手続(PRP)(部署長決定の規程及		
			び細則)		
		Policies, Rules and Procedures	Documents concerning minor changes of Policies, Rules and	1 year	Disposal
		(PRP)	Procedures	1年	廃棄
		規程制定•管理	学園の基本方針・ルール・手続の軽微な変更に関する文書		
	Document	General Matters	Corporate Document File Registry	Regular use	-
	Management	文書管理一般	法人文書ファイル簿	常用	_
	文書管理				
		General Matters	Transfer/Disposal Registry	Regular use	-
		文書管理一般	移管/廃棄簿	常用	_
		General Matters	Kessai Registry	Regular use	-
		文書管理一般	決裁総括簿	常用	_
		Received Documents	Received documents from external organizations (excluding	1 year	Disposal
		受信文書	those with preservation period under the law or service	1年	廃棄
			responsibilities)		
			学園外から取得した受信文書(法令又は所管業務上の保存期		
			間があるものを除く。)		
		Corporate Official Seals	Documents concerning establishment, modification and	30 years	Disposal
		公印	abolition of the Corporate official seals	30年	廃棄
			公印の制定改廃に関する文書		
		Corporate Official Seals	Official seal registry	Regular use	-
		公印	公印登録簿	常用	_

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Special measures	Particularly important Historical Materials	20 years	Transfer
		特別な措置	歴史的文書のうち重要なもの	20年	移管
			Historical Materials related to establishment of criteria or	10 years	Transfer
			advisory within the Corporation	10年	移管
			歴史的文書のうち学園内の基準制定又は指示勧告に関するも		
			\mathcal{O}		
			Historical Materials related to internal notifications within	5 years	Transfer
			the Corporation	5年	移管
			歴史的文書のうち学園内通知等に関するもの		
			Minor Historical Materials	1 year	Transfer
			歴史的文書のうち軽易なもの	1年	移管
			Received documents regarding Historical Materials	1 year	Disposal
			歴史的文書に関連する受信文書	1年	廃棄
	Public Access	Disclosure Requests	Documents of record regarding results of examination for	10 years	Disposal
	情報公開	開示請求	administrative appeal	10年	廃棄
			行政不服審査等の結果が記録されている文書		
		Disclosure Requests	Amendment or abolition of the examination criteria for	10 years	Disposal
		開示請求	public access to information	10年	廃棄
			情報公開に関する審査基準の改廃		
	Audit / Inspection	Auditor's Audits	Particularly important documents concerning audits by	30 years	Disposal
見	監查•検查	監事監査等	auditors	30年	廃棄
			監事が行う監査に関する文書で重要なもの		
		Auditor's Audits	Document concerning audit conducted by auditor	5 years	Disposal
		監事監査等	監事が行う監査に関する文書	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Internal Audits	Document concerning internal audit	5 years	Disposal
		内部監査等	内部監査に関するもの	5年	廃棄
		Whistleblower	Documents concerning whistleblowing	10 years	Disposal
		公益通報等	公益通報及び内部通報に関する文書	10年	廃棄
	Compliance	Security Export Control	Documents regarding security export control	7 years	Disposal
	コンプライアンス	安全保障輸出管理	安全保障輸出管理に関する文書	7年	廃棄
		Conflict of interest	Conflict of interest annual disclosure forms	3 years	Disposal
		利益相反管理	利益相反年次開示書	3年	廃棄
Public Relations	General matters of	Publications	Matters concerning academic bulletin, public relations list of	30 years	Disposal
広報	PR	出版物	employees issued by the Corporation	30年	廃棄
	広報一般		学園が発行するニュースレター等に関するもの		
		News and Reports	Important news and reports regarding the Corporation	10 years	Disposal
		報道	学園に関する報道で重要なもの	10年	廃棄
		News and Reports	News and reports regarding the Corporation	5 years	Disposal
		報道	学園に関する報道	5年	廃棄
		Website	Publication information on the Corporation's website	Regular Use	-
		ウェブサイト	学園のウェブサイトへの掲載情報	常用	-
		Website	Operation and management of the Corporation's Website	5 years	Disposal
		ウェブサイト	ウェブサイトの管理・運用に関するもの	5年	廃棄
		Campus tour/visit	Documents concerning campus tour and visit	1 year	Disposal
		視察/見学	視察・見学に関するもの	1年	廃棄
		General matters of PR	Submitted data, etc. on the social networking services	Regular Use	-
		広報一般	ソーシャルネットワークサービスへの投稿データ等	常用	-
		General matters of PR	Minor documents regarding general PR matters	1 year	Disposal
		広報一般	広報一般に関するもので軽易なもの	1年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
	Community	Local Government Office	Important matters related to Local Government Office	10 years	Disposal
	Relations and	地方自治体との渉外業務	地方自治体との渉外業務に関するもので重要なもの	10年	廃棄
	events				
	涉外·行事				
		Events hosting	Matters regarding events held by the Corporation	5 years	Disposal
		主催イベント	学園が主催するイベントに関するもの	5年	廃棄
		Cosponsor ship and Support	Patronage/Nominal Support of the Corporation	5 years	Disposal
		共催•後援	学園の後援名義に関するもの	5年	廃棄
		Cosponsor ship and Support	Documents concerning participation in and assistance to	1 year	Disposal
		共催•後援	external groups	1年	廃棄
			外部団体への加入賛助に関する文書		
Research Support	Safety and Health	Summary	Documents concerning plans on safety and health	5 years	Disposal
/Safety and Health	安全衛生	総括	安全衛生の計画に関する文書	5年	廃棄
研究支援•安全衛生					
		Safety Control	Management Record materials	3 years	Disposal
		安全管理	管理記録資料	3年	廃棄
		Safety Control	Minor management record materials	1 year	Disposal
		安全管理	軽微な管理記録資料	1年	廃棄
	Research safety	Radiation/RI	Particularly important documents concerning radiation and	30 years	Disposal
	研究安全	放射線/RI	RI	30年	廃棄
			放射線、RIに関するもので重要なもの		
		Pathogen	Particularly important documents concerning pathogen	30 years	Disposal
		病原体	病原体に関するもので重要なもの	30年	廃棄
		Human Subject Research	Particularly important documents concerning human	30 years	Disposal
		人対象研究	subjects research	30年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
			人対象研究に関するもので重要なもの		
		Recombinant DNA	Particularly important documents concerning recombinant	30 years	Disposal
		Experiments	DNA experiment	30年	廃棄
		遺伝子組換え実験	遺伝子組換え実験に関するもので重要なもの		
		Controlled Substances and	Particularly important documents concerning controlled	30 years	Disposal
		Chemical	substance and chemical substance	30年	廃棄
		Substances	規制物質・化学物質に関するもののうち重要なもの		
		規制物質•化学物質等			
		Laboratory Wastes	Particularly important documents concerning laboratory	30 years	Disposal
		実験廃棄物	wastes	30年	廃棄
			実験廃棄物に関するもののうち重要なもの		
		Radiation/RI	Documents concerning radiation and RI	5 years	Disposal
		放射線/RI	放射線、RI に関するもの	5年	廃棄
		Pathogen	Documents concerning pathogen	5 years	Disposal
		病原体	病原体に関するもの	5年	廃棄
		Human Subject Research	Documents concerning human subjects research	5 years	Disposal
		人対象研究	人対象研究に関するもの	5年	廃棄
		Recombinant DNA	Documents concerning recombinant DNA experiment	5 years	Disposal
		Experiments	遺伝子組換え実験に関するもの	5年	廃棄
		遺伝子組換え実験			
		Controlled Substances and	Documents concerning controlled substance and chemical	5 years	Disposal
		Chemical Substances	substance	5年	廃棄
		規制物質•化学物質等	規制物質・化学物質に関するもの		
		Laboratory Wastes	Documents concerning laboratory wastes	5 years	Disposal
		実験廃棄物	実験廃棄物に関するもの	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Summary	Important managerial materials related to research safety	5 years	Disposal
		総括	その他研究安全に関する重要な管理資料	5年	廃棄
		Summary	Minor documents concerning research safety	1 year	Disposal
		総括	研究安全に関するもので軽易なもの	1年	廃棄
	Research Support	Animal Experiments	Particularly important documents concerning animal	30 years	Disposal
	研究支援	動物実験	experiments	30年	廃棄
			動物実験に関するもののうち重要なもの		
	Research Support	Animal Experiments	Documents concerning animal experiments	5 years	Disposal
	研究支援	動物実験	動物実験に関するもの	5年	廃棄
		Vivarium	Documents concerning Vivarium	5 years	Disposal
i		動物施設	動物施設に関するもの	5年	廃棄
		Records	Record of research equipment use	1 year	Disposal
i		記録資料	研究機器利用記録	1年	廃棄
Research	General matters of	Document concerning general	Particularly important document concerning notification	10 years	Disposal
研究	research	notification regarding	regarding research in general	10年	廃棄
	研究一般	research	研究一般に関する通知等で重要なもの		
i		研究一般に関する通知等			
		Material Transfer Agreement	Document concerning Material Transfer Agreement	5 years	Disposal
1		MTA	MTA(研究成果有体物移転契約)に関する文書	5年	廃棄
		Joint research,	Documents concerning joint research, commissioned	5 years	Disposal
		commissioned research and	research and sponsored research	5年	廃棄
1		sponsored research	共同研究・受託研究・委託研究に関する文書		
		共同研究·受託研究·委託研究			
		Joint research,	Documents concerning joint-use research	5 years	Disposal
		commissioned research and	共同研究利用に関する文書	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		sponsored research			
		共同研究•受託研究•委託研究			
		Document concerning general	Document concerning notification regarding research in	5 years	Disposal
		notification	general	5年	廃棄
		regarding research	研究一般に関する通知等		
		研究一般に関する通知等			
		Document concerning general	Minor document concerning notification regarding research	Less than 1	Disposal
		notification	in general	year	廃棄
		regarding research	研究一般に関する通知等で軽易なもの	1年未満	
		研究一般に関する通知等			
		Evaluation for Research	Documents concerning research evaluation	30 years	Disposal
		研究評価	研究評価に関する文書	30年	廃棄
		Workshops and	Documents concerning research workshops, etc.	10 years	Disposal
		Conferences of Research	研究ワークショップ等に関する文書	10年	廃棄
		研究ワークショップ等			
	Research funds	Competitive Funds	Particularly important document concerning notification of	10 years	Disposal
	研究資金	競争的資金	KAKENHI (Grants in aid for Scientific Research)	10年	廃棄
			科学研究費補助金に関する通知等で重要なもの		
		Competitive Funds	Documents concerning KAKENHI (Grants in aid for Scientific	5 years	Disposal
		競争的資金	Research)	5年	廃棄
			科学研究費補助金の申請等に関するもの		
		Grants and Sponsorships	Documents concerning application to other grants and	5 years	Disposal
		研究助成金	sponsorship from private sectors for research	5年	廃棄
			研究に関する各種補助金及び民間等助成金の申請等に関す		
			るもの		

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
	Business	Patents	Particularly important documents of patent application	30 years	Disposal
	Development	発明•特許等	特許の出願に関するもので重要なもの	30年	廃棄
	事業開発				
		IP General	Particularly important document concerning copyright	30 years	Disposal
		知的財産一般	著作権に関するもので重要なもの	30年	廃棄
		IP General	Particularly Documents concerning trademark	30 years	Disposal
		知的財産一般	商標に関するもので重要なもの	30年	廃棄
		Materials and samples	Particularly important documents concerning materials and	30 years	Disposal
		produced during research	samples produced during research	30年	廃棄
		研究成果有体物に関するもの	研究成果有体物に関するもので重要なもの		
		Patents	Documents of patent application	5 years	Disposal
		発明•特許等	特許の出願に関するもの	5年	廃棄
		IP General	Document concerning copyright	5 years	Disposal
		知的財産一般	著作権に関するもの	5年	廃棄
		IP General	Documents concerning trademark	5 years	Disposal
		知的財産一般	商標に関するもの	5年	廃棄
		Materials and samples	Documents concerning materials and samples produced	5 years	Disposal
		produced during research	during research	5年	廃棄
		研究成果有体物に関するもの	研究成果有体物に関するもの		
		Patents	Minor documents concerning patent application	1 year	Disposal
		発明•特許等	特許の出願に関する文書で軽易なもの	1年	廃棄
		IP General	Minor documents concerning copyright	1 year	Disposal
		知的財産一般	著作権に関するもので軽易なもの	1年	廃棄
		IP General	Minor documents concerning trademark	1 year	Disposal
		知的財産一般	商標に関するもので軽易なもの	1年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Materials and samples	Minor documents concerning materials and samples	1 year	Disposal
		produced during research	produced during research	1年	廃棄
		研究成果有体物に関するもの	研究成果有体物に関するもので軽易なもの		
	Industry/communi	Collaborations with Industries	Documents concerning events of industry-university	5 years	Disposal
	ty collaboration	産業連携	collaboration	5年	廃棄
	産学・地域連携		産学連携の行事に関するもの		
		Collaborations with	Minor documents concerning collaboration with industry	1 year	Disposal
		Communities	and community	1年	廃棄
		地域連携	産学・地域連携に関するもので軽易なもの		
Human Resources	General matters	Labor Management	Important correspondence between labor and management	10 years	Disposal
人事	総括	労務	重要な労使間往復文書	10年	廃棄
		Labor Management	Working conditions and group negotiation	10 years	Disposal
		労務	労働条件•団体交渉	10年	廃棄
		Labor Management	Documents concerning compensation for accidents	10 years	Disposal
		労務	災害補償に関する文書	10年	廃棄
	Employees	Personnel Information	Documents concerning personnel records	30 years	Disposal
	職員	人事情報	人事記録に関する文書	30年	廃棄
		Recruiting, Hiring and	Documents concerning hiring, retirement/resignation, leave,	30 years	Disposal
		Resignation	reinstatement and transfer of officers and employees	30年	廃棄
		求人・採用・退職	役職員の採用・退職・休職・復職・異動に関する文書		
		Medical checkup	Documents concerning medical examinations	10 years	Disposal
		健康診断	健康診断に関する文書	10年	廃棄
		Welfares	Documents concerning welfare programs	10 years	Disposal
		福利厚生	福利厚生の計画に関する文書	10年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				Period 保存期間 5 years 5年 5 years 5年 3 years 3年	保存期間満了
					時の措置
		Performance evaluation	Documents concerning employee performance evaluation	5 years	Disposal
		人事評価	職員の人事評価に関する資料	5年	廃棄
		Social Insurances	Documents concerning the loss of qualification for social	5 years	Disposal
		社会保険	insurance	5年	廃棄
			社会保険被保険者資格喪失に関する文書		
		Employee Training	Documents concerning educational training	3 years	Disposal
		職員研修等	教育訓練に関する文書	3年	廃棄
		Attendance	Documents concerning employees' service and days taken	3 years	Disposal
		勤怠	off	3年	廃棄
			勤務・休暇に関する文書		
		Concurrent employment	Documents concerning positions held concurrently outside	3 years	Disposal
		兼業	of the Corporation	3年	廃棄
			外部兼職に関する文書		
		Appointment	Documents concerning appointment of committee members	3 years	Disposal
		委嘱	and part-time employee	3年	廃棄
			委員・嘱託の委嘱に関する文書		
		Commuting	Documents concerning the payment of commuting expenses,	3 years	Disposal
		通勤費	honoraria, etc.	3年	廃棄
			通勤費・謝金等の支給に関する文書		
	Payroll	Payroll General	Documents concerning withholding of tax	5 years	Disposal
	給与	給与一般	源泉徴収に関する文書	5年	廃棄
		Payroll General	Documents concerning payroll decisions including pay	5 years	Disposal
		給与一般	raises and promotions	5年	廃棄
			昇給・昇格等給与決定に関する文書		
Finance	Ledgers and	General Ledger	General Ledger	30 years	Disposal

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
財務	records	総勘定元帳	総勘定元帳	30年	廃棄
	帳簿				
		Fixed Asset Ledger	Fixed Asset Ledger	30 years	Disposal
		固定資産台帳	固定資産台帳	30年	廃棄
		Budget Plan	Budget Plan	30 years	Disposal
		予算計画	予算計画	30年	廃棄
		Accounting evidence	Documents concerning evidential materials	10 years	Disposal
		経理証憑	証拠書類に関する文書	10年	廃棄
	General Matters	Financial Statements	Financial Statement	30 years	Disposal
	総括	財務諸表	財務諸表	30年	廃棄
		Annual account settlement	Approval documents concerning annual account settlement	30 years	Disposal
		年次決算	年次決算に関する決算文書	30年	廃棄
		Audit by accounting auditors	Audit Reports by accounting auditors	10 years	Disposal
		会計監査人監査	会計監査人による監査報告書	10年	廃棄
		Important matters on	Documents concerning important accounting matters	10 years	Disposal
		accounting	重要な経理に関する文書	10年	廃棄
		重要な経理			
		bank transactions	Documents related to bank transactions	10 years	Disposal
		銀行取引	銀行取引に関する文書	10年	廃棄
		Tax	Tax filling documents	10 years	Disposal
		税務	税務の申告書	10年	廃棄
		Board of Audit of Japan	Documents concerning accounting audits	5 years	Disposal
		会計検査院	会計検査に関する文書	5年	廃棄
		Notifications and reports	Documents concerning notifications and reports regarding	5 years	Disposal
		届出•報告	accounting and finance	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period 保存期間 ations 5 years 5年 f Audit 5 years 5年 3 years 3年 10 years 10年 10 years 10年 5 years 5年 ptance 10 years 10年	Schedule
					保存期間満了
					時の措置
			会計・財務に関する届出・報告に関する文書		
		Donations	Document concerning examination for receiving donations	5 years	Disposal
		寄附金	寄附金の受入審査に関する文書	5年	廃棄
		Board of Audit of Japan	Documents concerning inspection results by Board of Audit	5 years	Disposal
I		会計検査院	会計検査院の検査を受けた結果に関する文書	5年	廃棄
		General accounting	Documents concerning routine and regular account	3 years	Disposal
		経理一般	processing	3年	廃棄
			定型的・定例的な経理処理に関する文書		
	Budget	Budget Plan	Materials related to budget and budget requests	10 years	Disposal
	予算	予算計画	概算要求及び予算関係資料	10年	廃棄
		General accounting	Ledgers other than general ledger	10 years	Disposal
		経理一般	総勘定元帳以外の帳簿	10年	廃棄
	Management	Business trips	Documents concerning business trips	5 years	Disposal
	管理	出張	出張に関する文書	5年	廃棄
	Procurement	Contracts	Documents concerning important contracts and acceptance	10 years	Disposal
	調達	契約	inspections	10年	廃棄
			重要な契約・検収に関する文書		
		Contracts	Documents concerning minor contracts and acceptance	5 years	Disposal
		契約	inspections	5年	廃棄
			軽易な契約・検収に関する文書		
Facility and	Facility	Facility management	Documents concerning survey of facilities	30 years	Disposal
Construction 施設建設	施設	施設管理	施設実態調査に関するもの	30年	廃棄
		Facility management	Original Drawings	30 years	Disposal

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				Period 保存期間 30年 30 years 30年 30 years 30年 10 years 10年 10 years 10年 10 years 10年	保存期間満了
					時の措置
		施設管理	原図	30年	廃棄
		Application/Notification	Documents concerning important application forms,	30 years	Disposal
		申請/届出	agreements and notification forms related to facility	30年	廃棄
			施設に係る各種申請書、協議書及び届出書等に関する文書		
			で重要なもの		
		Facility management	Important documents concerning buried cultural properties	30 years	Disposal
		施設管理	埋蔵文化財に関するもので重要なもの	30年	廃棄
		Application/Notification	Documents concerning application forms, agreements, and	10 years	Disposal
		申請/届出	notification forms related to facility	10年	廃棄
			施設に係る各種申請書、協議書及び届出書等に関するもの		
		Contracts	Documents to be submitted when requesting rectification of	10 years	Disposal
		契約	defects	10年	廃棄
			瑕疵の修補等の請求の際に必要となるもの		
		Facility management	Request forms and documents concerning the	10 years	Disposal
		施設管理	implementation plans	10年	廃棄
			各種要求書、実施計画書等に関するもの		
		Safety	Documents concerning Fire Prevention and Control	10 years	Disposal
		安全	防火管理に関するもの	10年	廃棄
		Facility management	Documents concerning maintenance of facilities (excluding	Less than 1	Disposal
		施設管理	records of periodical inspections)	year 1年未満	廃棄
			施設の維持管理に関するもの(定期検査の結果に関するもの		
			を除く。)		
	Construction	Construction	Documents concerning design and cost estimate of	5 years	Disposal
	建設	工事	construction works	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
			工事の設計積算に関するもの		
		Contracts	Documents concerning construction contracts (excluding	5 years	Disposal
		契約	those to be submitted when requesting rectification of	5年	廃棄
			defects)		
			工事請負契約に関するもの(瑕疵の修補等の請求の際に必要		
			となるものを除く。)		
		Contracts	Documents concerning consignment of design and	5 years	Disposal
		契約	supervision	5年	廃棄
			設計監理委託に関するもの		
		Construction	Documents concerning construction management	5 years	Disposal
		工事	(excluding those to be submitted when requesting	5年	廃棄
			rectification of defects)		
			工事の施工監理に関するもの(瑕疵の修補等の請求の際に必		
			要となるものを除く。)		
		Construction	Records of periodical inspections (including those	5 years	Disposal
		工事	required under the Building Standard Act and other related	5年	廃棄
			regulations)		
			定期検査の結果の記録に関するもの(建築基準法等関係法令		
			によるものを含む。)		
		Contracts	Documents concerning qualifications of participants in	3 years	Disposal
		契約	competitive biddings	3年	廃棄
			競争参加者資格に関するもの		
		Contracts	Documents concerning construction contracts and reports	3 years	Disposal
		契約	工事契約・施行に関する報告に関するもの	3年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period 保存期間 Less than 1 year 1年未満 on for facilities Less than 1 year 1年未満 nd accidents 30 years 30年 ents 10 years 10年 es and 10 years 10年 di accidents 1 year 1年 1 year 1年 1 year 1年 1 year 1年 0 onferment 30 years 30年	Schedule
					保存期間満了
					時の措置
		Construction	Drawings and specifications	Less than 1	Disposal
		工事	設計図書に関するもの	year	廃棄
				1年未満	
		Construction	Documents concerning structural calculation for facilities	Less than 1	Disposal
		工事	建築等の構造計算に関するもの	year	廃棄
				1年未満	
	Risk Management	Safety Control	Documents concerning serious disasters and accidents	30 years	Disposal
	危機管理	安全管理	重大な災害・事故に関する文書	30年	廃棄
		Safety Control	Documents concerning disasters and accidents	10 years	Disposal
		安全管理	災害・事故に関する文書	10年	廃棄
		Safety Control	Documents concerning important directives and	10 years	Disposal
		安全管理	recommendations	10年	廃棄
			重要な指示勧告に関する文書		
		Safety Control	Documents concerning compensation for accidents	10 years	Disposal
		安全管理	災害補償に関する文書	10年	廃棄
		Safety Control	Documents concerning minor disasters and accidents	1 year	Disposal
		安全管理	軽易な災害・事故に関する文書	1年	廃棄
		Safety Control	Minor management record materials	1 year	Disposal
		安全管理	軽微な管理記録	1年	廃棄
Education	Academic Affairs	Degree	Degree conferment and report of degree conferment	30 years	Disposal
教育	教務一般	学位	学位記授与及び学位授与報告に関するもの	30年	廃棄
		Committees	Meeting minutes and handouts of Faculty Assembly and	30 years	Disposal
		委員会	Faculty Council	30年	廃棄
			教授会、代議員会の議事録及び配布資料		

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Academic Affairs	Establishment and dismissal of academic programs and	30 years	Disposal
		教務	Courses	30年	廃棄
			学科、学科目、講座の設置及び改廃に関するもの		
		Degree	Registry of diploma issuance and registry of certificate	30 years	Disposal
		学位	issuance	30年	廃棄
			卒業証書発行台帳及び修了証書発行台帳に関するもの		
		Academic Affairs	Documents concerning recognition of credits	30 years	Disposal
		教務	単位認定に関するもの	30年	廃棄
		Degree	Documents concerning judgment of graduation	30 years	Disposal
		学位	卒業判定資料	30年	廃棄
		Academic Affairs	Syllabus (For preservation)	30 years	Disposal
		教務	シラバス(保存用)	30年	廃棄
		Academic Affairs	Student Handbook (For preservation)	30 years	Disposal
		教務	学生便覧(保存用)	30年	廃棄
		Degree	Documents concerning judgment of student examination	20 years	Transfer
		学位	合格者判定資料	20年	移管
		Thesis	Examination of degree thesis (including documents of	10 years	Transfer
		論文	examination committee)	10年	移管
			学位論文審査に関するもので重要なもの(審査委員会を含む)		
		Degree	Particularly important notification regarding degree	10 years	Disposal
		学位	学位に関する通知等で重要なもの	10年	廃棄
		Academic Affairs	Documents concerning academic (after expiration)	5 years	Disposal
		教務	他大学間との学術連携に関するもの(失効後)	5年	廃棄
		Academic Affairs	Credit Transfer	5 years	Disposal
		教務	単位互換に関するもの	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
	Student Affairs	Student Records	Document concerning school registration	Regular use	-
	学生一般	学生記録	学籍に関する文書	常用	_
		Student Records	Important documents concerning change of students' status	20 years	Transfer
		学生記録	including disciplinary actions	20年	移管
			学生の懲戒等身分の異動に関するもので重要なもの		
		Thesis	Doctoral thesis	10 years	Disposal
		論文	博士論文	10年	廃棄
		Student Records	Document concerning change of students' status including	10 years	Disposal
		学生記録	disciplinary actions	10年	廃棄
			学生の懲戒等身分の異動に関するもの		
		Student Records	Particularly important documents concerning scholarships	10 years	Disposal
		学生記録	from Japan Student Services Organization and any other	10年	廃棄
			scholarship foundations		
			日本学生支援機構及びその他育英団体の奨学金に関するも		
			ので重要なもの		
		Student entrance examination	Particularly important documents concerning notification of	5 years	Disposal
		入試	student entrance examination	5年	廃棄
			入試に関する通知等で重要なもの		
		Student Selection	Particularly important documents concerning student	5 years	Disposal
		学生選考	recruitment	5年	廃棄
			学生募集に関する文書で重要なもの		
		Student Selection	Documents concerning student selection examination	5 years	Disposal
		学生選考	入学者の選抜試験に関するもの	5年	廃棄
		Summary	Documents of admission procedures	5 years	Disposal
		総括	入学手続に関するもの	5年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
		Student Records	Personal accident insurance for students pursuing education	5 years	Disposal
		学生記録	and research	5年	廃棄
			学生教育研究災害傷害保険に関するもの		
		Academic Affairs	Documents concerning examination of credit recognition	5 years	Disposal
		教務	単位認定試験に関するもの	5年	廃棄
		Student Records	Documents related to scholarship program for students	5 years	Disposal
		学生記録	学生に対する奨学金に関するもの	5年	廃棄
		Summary	Document concerning research assistance of student	5 years	Disposal
		総括	学生の研究補助に関するもの	5年	廃棄
		Summary	Record of student consultation	5 years	Disposal
		総括	学生相談記録	5年	廃棄
		Summary	Documents concerning waiver of admission fee and tuition	5 years	Disposal
		総括	fee, etc.	5年	廃棄
			入学金、授業料等の免除に関するもので重要なもの		
		Student Records	Documents concerning students' grade	5 years	Disposal
		学生記録	成績に関するもの	5年	廃棄
		Summary	Minor documents concerning admission procedures	3 years	Disposal
		総括	入学手続に関するもので軽易なもの	3年	廃棄
		Student Records	Documents concerning various forms of identification such	3 years	Disposal
		学生記録	as student identification card	3年	廃棄
			学生証等の各種証明書発行に関するもの		
		Student Records	Documents concerning non-regular students	3 years	Disposal
		学生記録	非正規学生に関するもの	3年	廃棄
		Student Records	Minor documents regarding student record	1 year	Disposal
		学生記録	学生に関する記録で軽易なもの	1年	廃棄

Major Class	Medium Class	Minor Class	Type of Corporate Records	Preservation	Record
大分類	中分類	小分類	法人文書の類型	Period	Schedule
				保存期間	保存期間満了
					時の措置
IT	General matters	Information Security	Documents concerning data of users and passwords, etc.	5 years	Disposal
情報技術	総括	情報セキュリティ	利用者、パスワード等のデータに関するもの	5年	廃棄
		Software	Documents concerning software	1 year	Disposal
		ソフトウェア	ソフトウェアに関するもの	1年	廃棄
		Information Systems	Minor Document concerning IT	1 year	Disposal
		システム	情報技術に関するもので軽易なもの	1年	廃棄
	Information	Network Infrastructure	Particularly important documents concerning network and	5 years	Disposal
	Infrastructure	ネットワークインフラストラクチャ	information infrastructure	5年	廃棄
	情報基盤	_	ネットワーク及び情報基盤に関するもので重要なもの		
		Network Infrastructure	Minor documents concerning network and information	1 year	Disposal
		ネットワークインフラストラクチャ	infrastructure.	1年	廃棄
		<u> </u>	ネットワーク及び情報基盤に関するもので軽易なもの		
Materials and books	Library	Management	Library Catalog	30 years	Disposal
資料•図書	図書館	管理	蔵書目録	·	廃棄
		Use of Library	Usage Statistics	30 years	Disposal
		利用	利用統計	30年	廃棄
		Management	Documents concerning criteria of library operation	10 years	Disposal
		管理	図書館の運営に係る基準等に関するもの	10年	廃棄
		Management	Documents concerning purchase of books	5 years	Disposal
		管理	図書の購入に関するもの	5年	廃棄
		Management	Notification regarding books	5 years	Disposal
		管理	図書に関する通知等	5年	廃棄
		Use of Library	Documents concerning use of books	3 years	Disposal
		利用	図書の利用に関するもの	3年	廃棄